

Financial Disclosures

THIS FORM IS BEING USED IN LIEU OF CGE-2780.

NEW ENTRANT REPORT.

Mr. Alex N Wong (

Filed 09/08/2017 @ 9:48 AM

ALL ANNOTATIONS MADE BY SETAYLOR 9/28/2017

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of HonorariaDid any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No****Part 2. Earned and Non-Investment Income**Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
* 1	FILER WORKED IN FEDERAL GOVERNMENT PRIOR TO DOS, NOT REPORTABLE			
2	Spouse	Salary	U.S. Attorney of the District of Columbia Washington, DC	

Part 3. Assets * AmendedDid you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset Type	Owner	Value	Income Type	Income
1	RBC Managed Account	Brokerage/Managed Account	Spouse			

Filer comment: This is an investment account managed by a financial advisor at RBC that includes cash, mutual funds, IRA accounts, and other instruments.

* ALL STOCKS IN THIS ACCOUNT HAVE BEEN SOLD DURING 2016
DOCUMENTATION IS ATTACHED SET.

1.1	<u>MPC</u> - Marathon Petroleum Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.2	<u>HRB</u> - H&R Block, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.3	<u>DPS</u> - Dr Pepper Snapple Group, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.4	<u>TROW</u> - T. Rowe Price Group, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.5	<u>UNP</u> - Union Pacific Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.6	<u>VLO</u> - Valero Energy Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.7	<u>MAC</u> - The Macerich Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.8	<u>MRK</u> - Merck & Co., Inc.	Corporate	Spouse	\$1,001 -	None,	None

	<i>How Acquired: Purchase</i>	Securities Stock	\$15,000		(or less than \$201)
1.9	<u>PCAR</u> - PACCAR Inc <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.10	<u>TGT</u> - Target Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.11	<u>TSO-WI</u> - Tesoro Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	None,	None (or less than \$201)
1.12	<u>UTX</u> - United Technologies Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.13	<u>DOV</u> - Dover Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.14	<u>TEL</u> - TE Connectivity Ltd. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.15	<u>AMGN</u> - Amgen Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse \$1,001 - \$15,000	None,	None (or less than

						\$201)
1.16	<u>BA</u> - The Boeing Company	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.17	<u>DOW</u> - The Dow Chemical Company	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.18	<u>GIS</u> - General Mills, Inc.	Corporate	Spouse	\$1,001 -	None,	None
	<i>How Acquired: Purchase</i>	Securities		\$15,000	(or	
		Stock			less	
					than	
						\$201)
1.19	<u>OMC</u> - Omnicom Group Inc.	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.20	<u>RAI</u> - Reynolds American Inc.	Corporate	Spouse	\$1,001 -	Capital	None
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	(or
		Stock			less	
					than	
						\$201)
1.21	<u>GD</u> - General Dynamics Corporation	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.22	<u>GM</u> - General Motors Company	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.23	<u>AVY</u> - Avery Dennison Corporation	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.24	<u>RHI</u> - Robert Half International Inc.	Corporate	Spouse	\$1,001 -	Capital	\$201 -
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	\$1,000
		Stock				
1.25	<u>GPS</u> - The Gap, Inc.	Corporate	Spouse	\$1,001 -	Capital	None
	<i>How Acquired: Purchase</i>	Securities		\$15,000	Gains,	(or
		Stock			less	
					than	
						\$201)

1.26	<u>FAST</u> - Fastenal Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.27	<u>EQR</u> - Equity Residential <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.28	<u>AAPL</u> - Apple Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.29	<u>LYB</u> - LyondellBasell Industries N.V. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.30	<u>SYMC</u> - Symantec Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.31	<u>EMR</u> - Emerson Electric Co. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.32	<u>CHRW</u> - C.H. Robinson Worldwide, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, Capital Gains,	None (or less than \$201)
1.33	<u>ADP</u> - Automatic Data Processing, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

1.34	PX - Praxair, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.35	IPG - The Interpublic Group of Companies, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
1.36	GILD - Gilead Sciences, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.37	KO - The Coca-Cola Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
1.38	TXN - Texas Instruments Incorporated <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.39	ROK - Rockwell Automation Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.40	LEG - Leggett & Platt, Incorporated <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.41	HAS - Hasbro, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
1.42	MO - Altria Group, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

1.43	CPB - Campbell Soup Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, (or less than \$201)	None
1.44	F - Ford Motor Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, (or less than \$201)	None
1.45	HSY - The Hershey Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, (or less than \$201)	None
1.46	IBM - International Business Machines Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, (or less than \$201)	None
1.47	KLAC - KLA-Tencor Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, \$201 - \$1,000	
1.48	LLTC - Linear Technology Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, \$1,001 - \$2,500	
1.49	JWN - Nordstrom, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, \$201 - \$1,000	
1.50	OKE - ONEOK, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, (or less than \$201)	None
1.51	PEP - Pepsico, Inc. <i>How Acquired: Purchase</i>	Corporate Securities	Spouse	\$1,001 - \$15,000	None, (or	None

		Stock			less than \$201)
1.52	<u>SPG</u> - Simon Property Group, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, None (or less than \$201)
1.53	<u>VIA</u> - Viacom, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, None (or less than \$201)
1.54	<u>WU</u> - The Western Union Company <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, None (or less than \$201)
1.55	<u>WYN</u> - Wyndham Worldwide Corporation <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, None (or less than \$201)
1.56	<u>VZ</u> - Verizon Communications Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, None (or less than \$201)
1.57	<u>HON</u> - Honeywell International Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, (or less than \$201)
1.58	<u>HD</u> - The Home Depot, Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Capital Gains, (or less

							than \$201)
1.59	IVV - iShares Trust - iShares Core S&P 500 ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500	
1.60	IJR - iShares Trust - iShares Core S&P Small-Cap ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)	
1.61	IEFA - iShares Trust - iShares Core MSCI EAFE ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000	
1.62	IEMG - iShares Core MSCI Emerging Markets ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)	
1.63	IJH - iShares Trust - iShares Core S&P Mid-Cap ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000	
1.64	LQD - iShares iBoxx \$ Invmt Grade Corp Bd ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500	
1.65	EMB - iShares Trust - iShares J.P. Morgan USD Emerging M <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000	
1.66	GOVT - iShares Trust - iShares U.S. Treasury Bond ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000	
1.67	JNK - SPDR Series Trust - SPDR Bloomberg Barclays High Y <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000	
1.68	VEA - Vanguard Tax-Managed Funds - Vanguard FTSE Develop <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500	

1.69	<u>VWO</u> - Vanguard International Equity Index Funds - Vangua <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
1.70	<u>BNDX</u> - Vanguard Total International Bond ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
2	Former Employer 401(k) (Bancroft PLLC)	Retirement Plans Defined Contribution Pension Plan	Spouse			
3	Charles Schwab Retirement Savings Plan <i>Filer comment: This was an IRA I contributed to during my employment with Covington & Burling LLP.</i>	Retirement Plans IRA	Self			
3.1	<u>DODGX</u> - Dodge & Cox Stock <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	<u>JLGMX</u> - JPMorgan Large Cap Growth R6 <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.3	<u>AVFIX</u> - American Beacon Small Cp Val Inst <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.4	<u>VIEIX</u> - Vanguard Extended Market Index Instl <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.5	<u>JDMNX</u> - Janus Henderson Enterprise N <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less

						than \$201)
3.6	<u>LZEMX</u> - Lazard Emerging Markets Equity Instl <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.7	<u>OAKIX</u> - Oakmark International Investor <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.8	<u>VRREX</u> - Virtus Duff & Phelps Real Estate Secs R6 <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4	PNC Bank (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5	Bank of America (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
6	1441 Rhode Island Ave. NW, Apt. 401 <i>Description: 1441 Rhode Island Ave. NW, Apt. 401 (Washington, DC)</i> <i>Private comment for Ethics: This is our main and only residence. My spouse has a mortgage on this home of which the majority is still yet to be paid off.</i>	Real Estate Residential	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
7	<u>AMP</u> - Ameriprise Financial, Inc. <i>Filer comment: This is a managed investment account.</i>	Brokerage/Managed Account	Self			

7.1	ftf - Frontier Communications Corporation <i>Rate/Coupon: 8.5%</i> <i>Matures: 04/15/2020</i> <i>How Acquired: Purchase</i>	Corporate Securities Corporate Bond	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
7.2	JHLVX - JHancock Fundamental Large Cap Core C <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$1,001 -\$2,500
7.3	ODMAX - Oppenheimer Developing Markets A <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.4	OIBCX - Oppenheimer International Bond C <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.5	OIDAX - Oppenheimer International Diversified A <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.6	QOPCX - Oppenheimer Fundamental Alternatives C <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.7	QVOPX - Oppenheimer Fundamental Alternatives A <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.8	TAGRFX - JHancock Fundamental Large Cap Core A <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

7.9	TEMQX - Franklin Mutual Quest C <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.10	TEURX - Franklin Mutual European C <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.11	AAL - American Airlines Group Inc. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.12	AMJ - JPMorgan Alerian MLP Index ETN <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
7.13	BKLN - PowerShares Senior Loan ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.14	CHKP - Check Point Software Technologies Ltd. <i>How Acquired: Purchase</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.15	DBEM - DBX ETF Trust - Deutsche X-trackers MSCI Emerging <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.16	DBEU - Deutsche X-trackers MSCI Europe Hedgd Eq <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.17	GLD - SPDR Gold Trust <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than

						\$201)
7.18	<u>HYG</u> - iShares iBoxx \$ High Yield Corp Bd ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.19	<u>KRE</u> - SPDR S&P Regional Banking ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.20	<u>KXI</u> - iShares Global Consumer Staples ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.21	<u>PFF</u> - iShares US Preferred Stock ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.22	<u>PZA.MX</u> - PowerShares National AMT-Free MuniBd ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.23	<u>RNP</u> - Cohen & Steers REIT and Preferred Income Fund, Inc <i>How Acquired: Purchase</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.24	<u>SLV</u> - iShares Silver Trust <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	None,	None (or less than \$201)
7.25	<u>UL</u> - Unilever PLC <i>How Acquired: Purchase</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.26	<u>VB</u> - Vanguard Index Funds - Vanguard Small- Cap ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less

						than \$201)
7.27	VGT - Vanguard Information Technology ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.28	VO - Vanguard Mid-Cap ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.29	VWO - Vanguard International Equity Index Funds - Vangua <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.30	WFC.J Wells Fargo & Co. New Dep Shs Repstg 1/40 8% non cuml perp A ser J CPN 8.00 DTD 12.21.07 FC 03.15.08 call1 12/15/17 @25.000 <i>How Acquired: Purchase</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.31	ITB - iShares Trust - iShares U.S. Home Construction ETF <i>How Acquired: Purchase</i>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.32	Ameriprise ONE (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	03/01/2016	Self	FTR	Frontier Communications Corporation <i>Rate/Coupon: 8.5%</i> <i>Matures: 04/15/2020</i>	Purchase	\$1,001 - \$15,000	--
2	11/21/2016	Spouse	TEL	TE Connectivity Ltd.	Sale (Full)	\$1,001 - \$15,000	--
3	11/21/2016	Spouse	LYB	LyondellBasell Industries N.V.	Sale (Full)	\$1,001 - \$15,000	--
4	11/21/2016	Spouse	AMGN	Amgen Inc.	Sale (Full)	\$1,001 - \$15,000	--
5	11/21/2016	Spouse	ADP	Automatic Data Processing, Inc.	Sale (Full)	\$1,001 - \$15,000	--
6	11/21/2016	Spouse	AVY	Avery Dennison Corporation	Sale (Full)	\$1,001 - \$15,000	--
7	11/21/2016	Spouse	CHRW	C.H. Robinson Worldwide, Inc.	Sale (Full)	\$1,001 - \$15,000	--
8	11/21/2016	Spouse	CPB	Campbell Soup Company	Sale (Full)	\$1,001 - \$15,000	--
9	11/21/2016	Spouse	KO	The Coca-Cola Company	Sale (Full)	\$1,001 - \$15,000	--
10	11/21/2016	Spouse	DOW	The Dow Chemical Company	Sale (Full)	\$1,001 - \$15,000	--
11	11/21/2016	Spouse	DPS	Dr Pepper Snapple Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
12	11/21/2016	Spouse	EQR	Equity Residential	Sale (Full)	\$1,001 - \$15,000	--
13	11/21/2016	Spouse	FAST	Fastenal Company	Sale (Full)	\$1,001 - \$15,000	--
14	11/21/2016	Spouse	GPS	The Gap, Inc.	Sale (Full)	\$1,001 - \$15,000	--
15	11/21/2016	Spouse	GIS	General Mills, Inc.	Sale (Full)	\$1,001 - \$15,000	--

16	11/21/2016	Spouse	GM	General Motors Company	Sale (Full)	\$1,001 - \$15,000	--
17	11/21/2016	Spouse	GILD	Gilead Sciences Inc.	Sale (Full)	\$1,001 - \$15,000	--
18	11/21/2016	Spouse	HAS	Hasbro, Inc.	Sale (Full)	\$1,001 - \$15,000	--
19	11/21/2016	Spouse	HSY	The Hershey Company	Sale (Full)	\$1,001 - \$15,000	--
20	11/21/2016	Spouse	HD	The Home Depot, Inc.	Sale (Full)	\$1,001 - \$15,000	--
21	11/21/2016	Spouse	HON	Honeywell International Inc.	Sale (Full)	\$1,001 - \$15,000	--
22	11/21/2016	Spouse	IBM	International Business Machines Corporation	Sale (Full)	\$1,001 - \$15,000	--
23	11/21/2016	Spouse	IPG	The Interpublic Group of Companies, Inc.	Sale (Full)	\$1,001 - \$15,000	--
24	11/21/2016	Spouse	KLAC	KLA-Tencor Corporation	Sale (Full)	\$1,001 - \$15,000	--
25	11/21/2016	Spouse	K	Kellogg Company	Sale (Full)	\$1,001 - \$15,000	--
26	11/21/2016	Spouse	LEG	Leggett & Platt, Incorporated	Sale (Full)	\$1,001 - \$15,000	--
27	11/21/2016	Spouse	JWN	Nordstrom, Inc.	Sale (Full)	\$1,001 - \$15,000	--
28	11/21/2016	Spouse	OMC	Omnicom Group Inc.	Sale (Full)	\$1,001 - \$15,000	--
29	11/21/2016	Spouse	OKE	ONEOK, Inc.	Sale (Full)	\$1,001 - \$15,000	--
30	11/21/2016	Spouse	PEP	Pepsico, Inc.	Sale (Full)	\$1,001 - \$15,000	--
31	11/21/2016	Spouse	PX	Praxair, Inc.	Sale (Full)	\$1,001 - \$15,000	--
32	11/21/2016	Spouse	RAI	Reynolds American Inc.	Sale (Full)	\$1,001 -	--

						\$15,000	
33	11/21/2016	Spouse	RHI	Robert Half International Inc.	Sale (Full)	\$1,001 - \$15,000	--
34	11/21/2016	Spouse	ROK	Rockwell Automation Inc.	Sale (Full)	\$1,001 - \$15,000	--
35	11/21/2016	Spouse	SYMC	Symantec Corporation	Sale (Full)	\$1,001 - \$15,000	--
36	11/21/2016	Spouse	TGT	Target Corporation	Purchase	\$1,001 - \$15,000	--
37	11/21/2016	Spouse	TSO	Tesoro Corporation	Sale (Full)	\$1,001 - \$15,000	--
38	11/21/2016	Spouse	TXN	Texas Instruments Incorporated	Sale (Full)	\$1,001 - \$15,000	--
39	11/21/2016	Spouse	VIAB	Viacom, Inc.	Sale (Full)	\$1,001 - \$15,000	--
40	11/21/2016	Spouse	WU	The Western Union Company	Sale (Full)	\$1,001 - \$15,000	--
41	11/01/2016	Spouse	--	AVY - Avery Dennison Corporation	Purchase	\$1,001 - \$15,000	--
42	11/01/2016	Spouse	--	HD-The Home Depot, Inc.	Purchase	\$1,001 - \$15,000	--
43	11/01/2016	Spouse	HON	Honeywell International Inc.	Purchase	\$1,001 - \$15,000	--
44	11/01/2016	Spouse	LEG	Leggett & Platt, Incorporated	Purchase	\$1,001 - \$15,000	--
45	11/01/2016	Spouse	MO	Altria Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
46	11/01/2016	Spouse	F	Ford Motor Company	Sale (Full)	\$1,001 - \$15,000	--
47	11/01/2016	Spouse	SPG	Simon Property Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
48	11/01/2016	Spouse	VZ	Verizon Communications Inc.	Sale (Full)	\$1,001 - \$15,000	--

49	11/01/2016	Spouse	WYN	Wyndham Worldwide Corporation	Sale (Full)	\$1,001 - \$15,000	--
50	10/04/2016	Spouse	VZ	Verizon Communications Inc.	Purchase	\$1,001 - \$15,000	--
51	10/04/2016	Spouse	LLTC	Linear Technology Corporation	Sale (Full)	\$1,001 - \$15,000	--
52	09/02/2016	Spouse	MO	Altria Group, Inc.	Purchase	\$1,001 - \$15,000	--
53	09/02/2016	Spouse	CPB	Campbell Soup Company	Purchase	\$1,001 - \$15,000	--
54	09/02/2016	Spouse	F	Ford Motor Company	Purchase	\$1,001 - \$15,000	--
55	09/02/2016	Spouse	HSY	The Hershey Company	Purchase	\$1,001 - \$15,000	--
56	09/02/2016	Spouse	IBM	International Business Machines Corporation	Purchase	\$1,001 - \$15,000	--
57	09/02/2016	Spouse	KLAC	KLA-Tencor Corporation	Purchase	\$1,001 - \$15,000	--
58	09/02/2016	Spouse	K	Kellogg Company	Purchase	\$1,001 - \$15,000	--
59	09/02/2016	Spouse	LLTC	Linear Technology Corporation	Purchase	\$1,001 - \$15,000	--
60	09/02/2016	Spouse	JWN	Nordstrom, Inc.	Purchase	\$1,001 - \$15,000	--
61	09/02/2016	Spouse	OKE	ONEOK, Inc.	Purchase	\$1,001 - \$15,000	--
62	09/02/2016	Spouse	PEP	Pepsico, Inc.	Purchase	\$1,001 - \$15,000	--
63	09/02/2016	Spouse	SPG	Simon Property Group, Inc.	Purchase	\$1,001 - \$15,000	--
64	09/02/2016	Spouse	VIAB	Viacom, Inc.	Purchase	\$1,001 - \$15,000	--
65	09/02/2016	Spouse	WU	The Western Union Company	Purchase	\$1,001 -	--

						\$15,000	
66	09/02/2016	Spouse	WYN	Wyndham Worldwide Corporation	Purchase	\$1,001 -	--
						\$15,000	
67	09/02/2016	Spouse	TEL	TE Connectivity Ltd.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
68	09/02/2016	Spouse	LYB	LyondellBasell Industries N.V.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
69	09/02/2016	Spouse	AMGN	Amgen Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
70	09/02/2016	Spouse	AAPL	Apple Inc.	Sale (Full)	\$1,001 -	--
						\$15,000	
71	09/02/2016	Spouse	ADP	Automatic Data Processing, Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
72	09/02/2016	Spouse	CHRW	C.H. Robinson Worldwide, Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
73	09/02/2016	Spouse	KO	The Coca-Cola Company	Sale	\$1,001 -	--
					(Partial)	\$15,000	
74	09/02/2016	Spouse	DOW	The Dow Chemical Company	Sale	\$1,001 -	--
					(Partial)	\$15,000	
75	09/02/2016	Spouse	FAST	Fastenal Company	Sale	\$1,001 -	--
					(Partial)	\$15,000	
76	09/02/2016	Spouse	GIS	General Mills, Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
77	09/02/2016	Spouse	GM	General Motors Company	Sale	\$1,001 -	--
					(Partial)	\$15,000	
78	09/02/2016	Spouse	GILD	Gilead Sciences Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
79	09/02/2016	Spouse	HAS	Hasbro, Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
80	09/02/2016	Spouse	IPG	The Interpublic Group of Companies, Inc.	Sale	\$1,001 -	--
					(Partial)	\$15,000	
81	09/02/2016	Spouse	LEG	Leggett & Platt, Incorporated	Sale (Full)	\$1,001 -	--
						\$15,000	

82	09/02/2016	Spouse	OMC	Omnicom Group Inc.	Sale	\$1,001 - (Partial)	\$15,000	--
83	09/02/2016	Spouse	PX	Praxair, Inc.	Sale	\$1,001 - (Partial)	\$15,000	--
84	09/02/2016	Spouse	RAI	Reynolds American Inc.	Sale	\$1,001 - (Partial)	\$15,000	--
85	09/02/2016	Spouse	RHI	Robert Half International Inc.	Sale	\$1,001 - (Partial)	\$15,000	--
86	09/02/2016	Spouse	ROK	Rockwell Automation Inc.	Sale	\$1,001 - (Partial)	\$15,000	--
87	09/02/2016	Spouse	SYMC	Symantec Corporation	Sale	\$1,001 - (Partial)	\$15,000	--
88	09/02/2016	Spouse	TSO	Tesoro Corporation	Sale	\$1,001 - (Partial)	\$15,000	--
89	09/02/2016	Spouse	TXN	Texas Instruments Incorporated	Sale	\$1,001 - (Partial)	\$15,000	--
90	08/02/2016	Spouse	--	TEL-TE Connectivity Ltd.	Purchase	\$1,001 - \$15,000	--	--
91	08/02/2016	Spouse	LYB	LyondellBasell Industries N.V.	Purchase	\$1,001 - \$15,000	--	--
92	08/02/2016	Spouse	AMGN	Amgen Inc.	Purchase	\$1,001 - \$15,000	--	--
93	08/02/2016	Spouse	KO	The Coca-Cola Company	Purchase	\$1,001 - \$15,000	--	--
94	08/02/2016	Spouse	DPS	Dr Pepper Snapple Group, Inc.	Purchase	\$1,001 - \$15,000	--	--
95	08/02/2016	Spouse	GIS	General Mills, Inc.	Purchase	\$1,001 - \$15,000	--	--
96	08/02/2016	Spouse	GM	General Motors Company	Purchase	\$1,001 - \$15,000	--	--
97	08/02/2016	Spouse	GILD	Gilead Sciences Inc.	Purchase	\$1,001 - \$15,000	--	--
98	08/02/2016	Spouse	IPG	The Interpublic Group of Companies,	Purchase	\$1,001 -	--	--

				Inc.		\$15,000	
99	08/02/2016	Spouse	OMC	Omnicom Group Inc.	Purchase	\$1,001 - \$15,000	--
100	08/02/2016	Spouse	PX	Praxair, Inc.	Purchase	\$1,001 - \$15,000	--
101	08/02/2016	Spouse	TGT	Target Corporation	Purchase	\$1,001 - \$15,000	--
102	08/02/2016	Spouse	AAPL	Apple Inc.	Sale (Partial)	\$1,001 - \$15,000	--
103	08/02/2016	Spouse	ADP	Automatic Data Processing, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
104	08/02/2016	Spouse	CHRW	C.H. Robinson Worldwide, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
105	08/02/2016	Spouse	DOW	The Dow Chemical Company	Sale (Partial)	\$1,001 - \$15,000	--
106	08/02/2016	Spouse	EQR	Equity Residential	Sale (Partial)	\$1,001 - \$15,000	--
107	08/02/2016	Spouse	FAST	Fastenal Company	Sale (Partial)	\$1,001 - \$15,000	--
108	08/02/2016	Spouse	GPS	The Gap, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
109	08/02/2016	Spouse	HAS	Hasbro, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
110	08/02/2016	Spouse	LEG	Leggett & Platt, Incorporated	Sale (Partial)	\$1,001 - \$15,000	--
111	08/02/2016	Spouse	RAI	Reynolds American Inc.	Sale (Partial)	\$1,001 - \$15,000	--
112	08/02/2016	Spouse	RHI	Robert Half International Inc.	Sale (Partial)	\$1,001 - \$15,000	--
113	08/02/2016	Spouse	ROK	Rockwell Automation Inc.	Sale (Partial)	\$1,001 - \$15,000	--
114	08/02/2016	Spouse	SYMC	Symantec Corporation	Sale (Partial)	\$1,001 - \$15,000	--

115	08/02/2016	Spouse	TSO	Tesoro Corporation	Sale	\$1,001 - (Partial)	\$15,000	--
116	08/02/2016	Spouse	TXN	Texas Instruments Incorporated	Sale	\$1,001 - (Partial)	\$15,000	--
117	07/27/2016	Spouse	LLTC	Linear Technology Corporation	Sale (Full)	\$1,001 -	\$15,000	--
118	07/22/2016	Spouse	--	CHRW-C.H. Robinson Worldwide, Inc.	Purchase	\$1,001 -	\$15,000	--
119	07/22/2016	Spouse	FAST	Fastenal Company	Purchase	\$1,001 -	\$15,000	--
120	07/22/2016	Spouse	HAS	Hasbro, Inc.	Purchase	\$1,001 -	\$15,000	--
121	07/22/2016	Spouse	RAI	Reynolds American Inc.	Purchase	\$1,001 -	\$15,000	--
122	07/01/2016	Spouse	SYMC	Symantec Corporation	Purchase	\$1,001 -	\$15,000	--
123	07/01/2016	Spouse	LYB	LyondellBasell Industries N.V.	Sale (Full)	\$1,001 -	\$15,000	--
124	07/01/2016	Spouse	AMGN	Amgen Inc.	Sale (Partial)	\$1,001 - \$15,000		--
125	07/01/2016	Spouse	DPS	Dr Pepper Snapple Group, Inc.	Sale (Full)	\$1,001 -	\$15,000	--
126	07/01/2016	Spouse	EMR	Emerson Electric Co.	Sale (Full)	\$1,001 -	\$15,000	--
127	05/03/2016	Spouse	LYB	LyondellBasell Industries N.V.	Purchase	\$1,001 -	\$15,000	--
128	05/03/2016	Spouse	AAPL	Apple Inc.	Purchase	\$1,001 -	\$15,000	--
129	05/03/2016	Spouse	EQR	Equity Residential	Purchase	\$1,001 -	\$15,000	--
130	05/03/2016	Spouse	FAST	Fastenal Company	Purchase	\$1,001 -	\$15,000	--
131	05/03/2016	Spouse	GPS	The Gap, Inc.	Purchase	\$1,001 -		--

						\$15,000	
132	05/03/2016	Spouse	RHI	Robert Half International Inc.	Purchase	\$1,001 -	--
						\$15,000	
133	05/03/2016	Spouse	TEL	TE Connectivity Ltd.	Sale (Full)	\$1,001 -	--
						\$15,000	
134	05/03/2016	Spouse	BA	The Boeing Company	Sale (Full)	\$1,001 -	--
						\$15,000	
135	05/03/2016	Spouse	GD	General Dynamics Corporation	Sale (Full)	\$1,001 -	--
						\$15,000	
136	05/03/2016	Spouse	GIS	General Mills, Inc.	Sale (Partial)	\$1,001 -	--
						\$15,000	
137	05/03/2016	Spouse	GM	General Motors Company	Sale (Full)	\$1,001 -	--
						\$15,000	
138	05/03/2016	Spouse	OMC	Omnicom Group Inc.	Sale (Partial)	\$1,001 -	--
						\$15,000	
139	05/03/2016	Spouse	TGT	Target Corporation	Sale (Full)	\$1,001 -	--
						\$15,000	
140	05/03/2016	Spouse	UTX	United Technologies Corporation	Sale (Full)	\$1,001 -	--
						\$15,000	
141	04/04/2016	Spouse	DPS	Dr Pepper Snapple Group, Inc.	Purchase	\$1,001 -	--
						\$15,000	
142	04/04/2016	Spouse	GD	General Dynamics Corporation	Purchase	\$1,001 -	--
						\$15,000	
143	04/04/2016	Spouse	GM	General Motors Company	Purchase	\$1,001 -	--
						\$15,000	
144	04/04/2016	Spouse	--	AVY-Avery Dennison Corporation	Sale (Full)	\$1,001 -	--
						\$15,000	
145	03/08/2016	Spouse	TEL	TE Connectivity Ltd.	Purchase	\$1,001 -	--
						\$15,000	
146	03/08/2016	Spouse	AMGN	Amgen Inc.	Purchase	\$1,001 -	--
						\$15,000	
147	03/08/2016	Spouse	BA	The Boeing Company	Purchase	\$1,001 -	--
						\$15,000	

148	03/08/2016	Spouse	DOW	The Dow Chemical Company	Purchase	\$1,001 - \$15,000	--
149	03/08/2016	Spouse	GIS	General Mills, Inc.	Purchase	\$1,001 - \$15,000	--
150	03/08/2016	Spouse	OMC	Omnicom Group Inc.	Purchase	\$1,001 - \$15,000	--
151	03/08/2016	Spouse	RAI	Reynolds American Inc.	Purchase	\$1,001 - \$15,000	--
152	03/08/2016	Spouse	TGT	Target Corporation	Purchase	\$1,001 - \$15,000	--
153	03/08/2016	Spouse	TSO	Tesoro Corporation	Purchase	\$1,001 - \$15,000	--
154	03/08/2016	Spouse	UTX	United Technologies Corporation	Purchase	\$1,001 - \$15,000	--
155	03/08/2016	Spouse	DOV	Dover Corporation	Sale (Full)	\$1,001 - \$15,000	--
156	03/08/2016	Spouse	MAC	The Macerich Company	Sale (Full)	\$1,001 - \$15,000	--
157	03/08/2016	Spouse	MPC	Marathon Petroleum Corporation	Sale (Full)	\$1,001 - \$15,000	--
158	03/08/2016	Spouse	MRK	Merck & Co., Inc.	Sale (Full)	\$1,001 - \$15,000	--
159	03/08/2016	Spouse	PCAR	PACCAR Inc	Sale (Full)	\$1,001 - \$15,000	--
160	03/08/2016	Spouse	TROW	T. Rowe Price Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
161	03/08/2016	Spouse	UNP	Union Pacific Corporation	Sale (Full)	\$1,001 - \$15,000	--
162	03/08/2016	Spouse	VLO	Valero Energy Corporation	Sale (Full)	\$1,001 - \$15,000	--
163	01/04/2016	Spouse	MPC	Marathon Petroleum Corporation	Purchase	\$1,001 - \$15,000	--
164	01/04/2016	Spouse	HRB	H&R Block, Inc.	Sale (Full)	\$1,001 -	--

\$15,000

165	01/04/2016	Spouse	DPS	Dr Pepper Snapple Group, Inc.	Sale (Full)	\$1,001 -	--
						\$15,000	

Part 4b. Transactions * Amended

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>MPC</u>	Marathon Petroleum Corporation	Purchase	01/04/2016	\$1,001 - \$15,000	--
2	Spouse	<u>HRB</u>	H&R Block, Inc.	Sale (Full)	01/04/2016	\$1,001 - \$15,000	--
3	Spouse	<u>DPS</u>	Dr Pepper Snapple Group, Inc.	Sale (Full)	01/04/2016	\$1,001 - \$15,000	--
4	Self	<u>FTR</u>	Frontier Communications Corporation	Purchase	03/01/2016	\$1,001 - \$15,000	--
5	Spouse	<u>TEL</u>	TE Connectivity Ltd.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
6	Spouse	<u>LYB</u>	LyondellBasell Industries N.V.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
7	Spouse	<u>AMGN</u>	Amgen Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
8	Spouse	<u>ADP</u>	Automatic Data Processing, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
9	Spouse	<u>AVY</u>	Avery Dennison Corporation	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
10	Spouse	<u>CHRW</u>	C.H. Robinson Worldwide, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
11	Spouse	<u>CPB</u>	Campbell Soup Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
12	Spouse	<u>KO</u>	The Coca-Cola Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--

13	Spouse	<u>DOW</u>	The Dow Chemical Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
14	Spouse	<u>DPS</u>	Dr Pepper Snapple Group, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
15	Spouse	<u>EQR</u>	Equity Residential	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
16	Spouse	<u>FAST</u>	Fastenal Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
17	Spouse	<u>GPS</u>	The Gap, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
18	Spouse	<u>GIS</u>	General Mills, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
19	Spouse	<u>GM</u>	General Motors Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
20	Spouse	<u>GILD</u>	Gilead Sciences, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
21	Spouse	<u>HAS</u>	Hasbro, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
22	Spouse	<u>HSY</u>	The Hershey Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
23	Spouse	<u>HD</u>	The Home Depot, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
24	Spouse	<u>HON</u>	Honeywell International Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
25	Spouse	<u>IBM</u>	International Business Machines Corporation	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
26	Spouse	<u>IPG</u>	The Interpublic Group of Companies, Inc.	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
27	Spouse	<u>KLAC</u>	KLA-Tencor Corporation	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
28	Spouse	<u>K</u>	Kellogg Company	Sale (Full)	11/21/2016	\$1,001 - \$15,000	--
29	Spouse	<u>LEG</u>	Leggett & Platt, Incorporated	Sale (Full)	11/21/2016	\$1,001 -	--

						\$15,000	
30	Spouse	<u>JWN</u>	Nordstrom, Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
31	Spouse	<u>OMC</u>	Omnicom Group Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
32	Spouse	<u>OKE</u>	ONEOK, Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
33	Spouse	<u>PEP</u>	Pepsico, Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
34	Spouse	<u>PX</u>	Praxair, Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
35	Spouse	<u>RAI</u>	Reynolds American Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
36	Spouse	<u>RHI</u>	Robert Half International Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
37	Spouse	<u>ROK</u>	Rockwell Automation Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
38	Spouse	<u>SYMC</u>	Symantec Corporation	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
39	Spouse	<u>TGT</u>	Target Corporation	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
40	Spouse	--	TSO - Tesoro Corporation	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
41	Spouse	<u>TXN</u>	Texas Instruments Incorporated	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
42	Spouse	<u>VIAB</u>	Viacom, Inc.	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
43	Spouse	<u>WU</u>	The Western Union Company	Sale (Full)	11/21/2016	\$1,001 -	--
						\$15,000	
44	Spouse	<u>AVY</u>	Avery Dennison Corporation	Purchase	11/01/2016	\$1,001 -	--
						\$15,000	
45	Spouse	<u>HD</u>	The Home Depot, Inc.	Purchase	11/01/2016	\$1,001 -	--
						\$15,000	

46	Spouse	<u>HON</u>	Honeywell International Inc.	Purchase	11/01/2016	\$1,001 - \$15,000	--
47	Spouse	<u>LEG</u>	Leggett & Platt, Incorporated	Purchase	11/01/2016	\$1,001 - \$15,000	--
48	Spouse	<u>MO</u>	Altria Group, Inc.	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
49	Spouse	<u>F</u>	Ford Motor Company	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
50	Spouse	<u>SPG</u>	Simon Property Group, Inc.	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
51	Spouse	<u>VZ</u>	Verizon Communications Inc.	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
52	Spouse	<u>WYN</u>	Wyndham Worldwide Corporation	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
53	Spouse	<u>VZ</u>	Verizon Communications Inc.	Purchase	10/04/2016	\$1,001 - \$15,000	--
54	Spouse	--	LLTC - Linear Technology Corporation	Sale (Full)	10/04/2016	\$1,001 - \$15,000	--
55	Spouse	<u>MO</u>	Altria Group, Inc.	Purchase	09/02/2016	\$1,001 - \$15,000	--
56	Spouse	<u>CPB</u>	Campbell Soup Company	Purchase	09/02/2016	\$1,001 - \$15,000	--
57	Spouse	<u>F</u>	Ford Motor Company	Purchase	09/02/2016	\$1,001 - \$15,000	--
58	Spouse	<u>HSY</u>	The Hershey Company	Purchase	09/02/2016	\$1,001 - \$15,000	--
59	Spouse	<u>IBM</u>	International Business Machines Corporation	Purchase	09/02/2016	\$1,001 - \$15,000	--
60	Spouse	<u>KLAC</u>	KLA-Tencor Corporation	Purchase	09/02/2016	\$1,001 - \$15,000	--
61	Spouse	<u>K</u>	Kellogg Company	Purchase	09/02/2016	\$1,001 - \$15,000	--
62	Spouse	--	LLTC - Linear Technology Corporation	Purchase	09/02/2016	\$1,001 -	--

						\$15,000	
63	Spouse	<u>JWN</u>	Nordstrom, Inc.	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
64	Spouse	<u>OKE</u>	ONEOK, Inc.	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
65	Spouse	<u>PEP</u>	Pepsico, Inc.	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
66	Spouse	<u>SPG</u>	Simon Property Group, Inc.	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
67	Spouse	<u>VIAB</u>	Viacom, Inc.	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
68	Spouse	<u>WU</u>	The Western Union Company	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
69	Spouse	<u>WYN</u>	Wyndham Worldwide Corporation	Purchase	09/02/2016	\$1,001 -	--
						\$15,000	
70	Spouse	<u>TEL</u>	TE Connectivity Ltd.	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
71	Spouse	<u>LYB</u>	LyondellBasell Industries N.V.	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
72	Spouse	<u>AMGN</u>	Amgen Inc.	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
73	Spouse	<u>AAPL</u>	Apple Inc.	Sale (Full)	09/02/2016	\$1,001 -	--
						\$15,000	
74	Spouse	<u>ADP</u>	Automatic Data Processing, Inc.	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
75	Spouse	<u>CHRW</u>	C.H. Robinson Worldwide, Inc.	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
76	Spouse	<u>KO</u>	The Coca-Cola Company	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
77	Spouse	<u>DOW</u>	The Dow Chemical Company	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	
78	Spouse	<u>FAST</u>	Fastenal Company	Sale (Partial)	09/02/2016	\$1,001 -	--
						\$15,000	

79	Spouse	<u>GIS</u>	General Mills, Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
80	Spouse	<u>GM</u>	General Motors Company	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
81	Spouse	<u>GILD</u>	Gilead Sciences, Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
82	Spouse	<u>HAS</u>	Hasbro, Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
83	Spouse	<u>IPG</u>	The Interpublic Group of Companies, Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
84	Spouse	<u>LEG</u>	Leggett & Platt, Incorporated	Sale (Full)	09/02/2016	\$1,001 - \$15,000	--
85	Spouse	<u>OMC</u>	Omnicom Group Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
86	Spouse	<u>PX</u>	Praxair, Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
87	Spouse	<u>RAI</u>	Reynolds American Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
88	Spouse	<u>RHI</u>	Robert Half International Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
89	Spouse	<u>ROK</u>	Rockwell Automation Inc.	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
90	Spouse	<u>SYMC</u>	Symantec Corporation	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
91	Spouse	--	TSO - Tesoro Corporation	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
92	Spouse	<u>TXN</u>	Texas Instruments Incorporated	Sale (Partial)	09/02/2016	\$1,001 - \$15,000	--
93	Spouse	<u>TEL</u>	TE Connectivity Ltd.	Purchase	08/02/2016	\$1,001 - \$15,000	--
94	Spouse	<u>LYB</u>	LyondellBasell Industries N.V.	Purchase	08/02/2016	\$1,001 - \$15,000	--
95	Spouse	<u>AMGN</u>	Amgen Inc.	Purchase	08/02/2016	\$1,001 -	--

						\$15,000	
96	Spouse	<u>KO</u>	The Coca-Cola Company	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
97	Spouse	<u>DPS</u>	Dr Pepper Snapple Group, Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
98	Spouse	<u>GIS</u>	General Mills, Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
99	Spouse	<u>GM</u>	General Motors Company	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
100	Spouse	<u>GILD</u>	Gilead Sciences, Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
101	Spouse	<u>IPG</u>	The Interpublic Group of Companies, Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
102	Spouse	<u>OMC</u>	Omnicom Group Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
103	Spouse	<u>PX</u>	Praxair, Inc.	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
104	Spouse	<u>TGT</u>	Target Corporation	Purchase	08/02/2016	\$1,001 -	--
						\$15,000	
105	Spouse	<u>AAPL</u>	Apple Inc.	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
106	Spouse	<u>ADP</u>	Automatic Data Processing, Inc.	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
107	Spouse	<u>CHRW</u>	C.H. Robinson Worldwide, Inc.	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
108	Spouse	<u>DOW</u>	The Dow Chemical Company	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
109	Spouse	<u>EQR</u>	Equity Residential	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
110	Spouse	<u>FAST</u>	Fastenal Company	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	
111	Spouse	<u>GPS</u>	The Gap, Inc.	Sale (Partial)	08/02/2016	\$1,001 -	--
						\$15,000	

112	Spouse	<u>HAS</u>	Hasbro, Inc.	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
113	Spouse	<u>LEG</u>	Leggett & Platt, Incorporated	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
114	Spouse	<u>RAI</u>	Reynolds American Inc.	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
115	Spouse	<u>RHI</u>	Robert Half International Inc.	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
116	Spouse	<u>ROK</u>	Rockwell Automation Inc.	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
117	Spouse	<u>SYMC</u>	Symantec Corporation	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
118	Spouse	--	TSO - Tesoro Corporation	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
119	Spouse	<u>TXN</u>	Texas Instruments Incorporated	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	--
120	Spouse	--	LLTC - Linear Technology Corporation	Sale (Full)	07/27/2016	\$1,001 - \$15,000	--
121	Spouse	<u>CHRW</u>	C.H. Robinson Worldwide, Inc.	Purchase	07/22/2016	\$1,001 - \$15,000	--
122	Spouse	<u>FAST</u>	Fastenal Company	Purchase	07/22/2016	\$1,001 - \$15,000	--
123	Spouse	<u>HAS</u>	Hasbro, Inc.	Purchase	07/22/2016	\$1,001 - \$15,000	--
124	Spouse	<u>RAI</u>	Reynolds American Inc.	Purchase	07/22/2016	\$1,001 - \$15,000	--
125	Spouse	<u>SYMC</u>	Symantec Corporation	Purchase	07/01/2016	\$1,001 - \$15,000	--
126	Spouse	<u>LYB</u>	LyondellBasell Industries N.V.	Sale (Full)	07/01/2016	\$1,001 - \$15,000	--
127	Spouse	<u>AMGN</u>	Amgen Inc.	Sale (Partial)	07/01/2016	\$1,001 - \$15,000	--

128	Spouse	<u>DPS</u>	Dr Pepper Snapple Group, Inc.	Sale (Full)	07/01/2016	\$1,001 - \$15,000	--
129	Spouse	<u>EMR</u>	Emerson Electric Co.	Sale (Full)	07/01/2016	\$1,001 - \$15,000	--
130	Spouse	<u>LYB</u>	LyondellBasell Industries N.V.	Purchase	05/03/2016	\$1,001 - \$15,000	--
131	Spouse	<u>AAPL</u>	Apple Inc.	Purchase	05/03/2016	\$1,001 - \$15,000	--
132	Spouse	<u>EQR</u>	Equity Residential	Purchase	05/03/2016	\$1,001 - \$15,000	--
133	Spouse	<u>FAST</u>	Fastenal Company	Purchase	05/03/2016	\$1,001 - \$15,000	--
134	Spouse	<u>GPS</u>	The Gap, Inc.	Purchase	05/03/2016	\$1,001 - \$15,000	--
135	Spouse	<u>RHI</u>	Robert Half International Inc.	Purchase	05/03/2016	\$1,001 - \$15,000	--
136	Spouse	<u>TEL</u>	TE Connectivity Ltd.	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
137	Spouse	<u>BA</u>	The Boeing Company	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
138	Spouse	<u>GD</u>	General Dynamics Corporation	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
139	Spouse	<u>GIS</u>	General Mills, Inc.	Sale (Partial)	05/03/2016	\$1,001 - \$15,000	--
140	Spouse	<u>GM</u>	General Motors Company	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
141	Spouse	<u>OMC</u>	Omnicom Group Inc.	Sale (Partial)	05/03/2016	\$1,001 - \$15,000	--
142	Spouse	<u>TGT</u>	Target Corporation	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
143	Spouse	<u>UTX</u>	United Technologies Corporation	Sale (Full)	05/03/2016	\$1,001 - \$15,000	--
144	Spouse	<u>DPS</u>	Dr Pepper Snapple Group, Inc.	Purchase	04/04/2016	\$1,001 -	--

						\$15,000	
145	Spouse	<u>GD</u>	General Dynamics Corporation	Purchase	04/04/2016	\$1,001 -	--
						\$15,000	
146	Spouse	<u>GM</u>	General Motors Company	Purchase	04/04/2016	\$1,001 -	--
						\$15,000	
147	Spouse	<u>AVY</u>	Avery Dennison Corporation	Sale (Full)	04/04/2016	\$1,001 -	--
						\$15,000	
148	Spouse	<u>TEL</u>	TE Connectivity Ltd.	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
149	Spouse	<u>AMGN</u>	Amgen Inc.	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
150	Spouse	<u>BA</u>	The Boeing Company	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
151	Spouse	<u>DOW</u>	The Dow Chemical Company	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
152	Spouse	<u>GIS</u>	General Mills, Inc.	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
153	Spouse	<u>OMC</u>	Omnicom Group Inc.	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
154	Spouse	<u>RAI</u>	Reynolds American Inc.	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
155	Spouse	<u>TGT</u>	Target Corporation	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
156	Spouse	--	TSO - Tesoro Corporation	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
157	Spouse	<u>UTX</u>	United Technologies Corporation	Purchase	03/08/2016	\$1,001 -	--
						\$15,000	
158	Spouse	<u>DOV</u>	Dover Corporation	Sale (Full)	03/08/2016	\$1,001 -	--
						\$15,000	
159	Spouse	<u>MAC</u>	The Macerich Company	Sale (Full)	03/08/2016	\$1,001 -	--
						\$15,000	
160	Spouse	<u>MPC</u>	Marathon Petroleum Corporation	Sale (Full)	03/08/2016	\$1,001 -	--
						\$15,000	

161	Spouse	<u>MRK</u>	Merck & Co., Inc.	Sale (Full)	03/08/2016	\$1,001 - \$15,000	--
162	Spouse	<u>PCAR</u>	PACCAR Inc	Sale (Full)	03/08/2016	\$1,001 - \$15,000	--
163	Spouse	<u>TROW</u>	T. Rowe Price Group, Inc.	Sale (Full)	03/08/2016	\$1,001 - \$15,000	--
164	Spouse	<u>UNP</u>	Union Pacific Corporation	Sale (Full)	03/08/2016	\$1,001 - \$15,000	--
165	Spouse	<u>VLO</u>	Valero Energy Corporation	Sale (Full)	03/08/2016	\$1,001 - \$15,000	--
166	Spouse	<u>IVV</u>	iShares Trust - iShares Core S&P 500 ETF	Purchase	11/21/2016	\$50,001 - \$100,000	--
167	Spouse	<u>IJR</u>	iShares Trust - iShares Core S&P Small- Cap ETF	Purchase	11/21/2016	\$15,001 - \$50,000	--
168	Spouse	<u>IEFA</u>	iShares Trust - iShares Core MSCI EAFE ETF	Purchase	11/21/2016	\$15,001 - \$50,000	--
169	Spouse	<u>IEMG</u>	iShares Core MSCI Emerging Markets ETF	Purchase	11/21/2016	\$1,001 - \$15,000	--
170	Self	<u>VGT</u>	Vanguard Information Technology ETF	Sale (Partial)	11/16/2016	\$1,001 - \$15,000	--
171	Self	<u>DBEM</u>	DBX ETF Trust - Deutsche X-trackers MSCI Emerging	Purchase	11/16/2016	\$1,001 - \$15,000	--
172	Self	<u>ITB</u>	iShares Trust - iShares U.S. Home Construction ETF	Sale (Full)	08/16/2016	\$1,001 - \$15,000	--
173	Self	<u>VWO</u>	Vanguard International Equity Index Funds - Vangua	Purchase	08/16/2016	\$1,001 - \$15,000	--
174	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	11/16/2016	\$1,001 - \$15,000	--
175	Self	<u>DBEM</u>	DBX ETF Trust - Deutsche X-trackers MSCI Emerging	Purchase	11/16/2016	\$1,001 - \$15,000	--
176	Self	<u>VNQ</u>	Vanguard REIT ETF	Purchase	08/16/2016	\$1,001 - \$15,000	--
177	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corp Bd ETF	Purchase	08/16/2016	\$1,001 -	--

\$15,000

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

		Travel					
#	Date(s)	Traveler(s)	Type	Itinerary	Reimbursed For	Who Paid	Comment
1	04/12/2016 to 04/17/2016	Self	Outside activity	Travel from Washington DC to Rome, Italy and return. Stay in Rome, Italy for the duration of the trip for a conference.	Airfare, lodging, meals.	The Trilateral Commission Washington, DC	This trip was paid for by the Trilateral Commission because I was a fellow of the organization.
2	05/28/2016 to 06/03/2016	Self	MECEA	Washington DC to Palanga, Lithuania and then return from Vilnius, Lithuania to Washington DC.	Transportation, lodging, meals.	Government of Lithuania Vilnius, Lithuania	This was a MECEA trip sponsored by the Lithuanian government.
3	08/19/2016 to 08/26/2016	Self	MECEA	Washington, DC to Tel Aviv; and then Tel Aviv to Oslo, Norway.	Transportation, lodging, meals.	Government of Israel Jerusalem, Israel	The return trip was to Oslo b/c I had Senate business there. This was approved by MECEA authorities.

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

Rate

#	Incurred	Debtor	Type	Points	(Term)	Amount	Creditor	Comments
1	2005	Self	Educational	-	3.25%	\$10,001 -	American	This was a loan taken out to finance
			Loan		(237	\$15,000	Education	my law school education.
					months)		Services	
							Harrisburg , PA	

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

Position					
#	Dates	Position Held	Entity	Entity Type	Comments
1	Apr 2014 to present	Other (Term Member)	Council on Foreign Relations Washington, DC	Nonprofit Organization	My term membership runs 5 years, and I pay dues as a part of this membership.

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years?
This is not my first report.

Attachments & Comments

No attachments added.

No comments added.

No private comments added.

**ALEX N. WONG FINANCIAL DISCLOSURE
COVERING JANUARY 1, 2017 TO SEPTEMBER 25, 2017**

Part I: Honoraria Payments or Payments to Charity in Lieu of Honoraria

None

Part II: Earned and Non-Investment Income

1. Self: *PRIOR FEDERAL EMPLOYMENT NOT REPORTABLE*
2. Spouse: Salary, U.S. Attorney of the District of Columbia (Washington, DC)

Part III: Assets

1. Self-owned Assets:

BANK OF AMERICA ACCOUNT

Asset Name	Type	Value	Income Type	Income Amount
Bank of America Account	Bank Deposit	\$15,001-\$50,000	Interest	Less than \$201

AMERIPRISE FINANCIAL, INC. MANAGED ACCOUNT

Asset Name	Type	Value	Income Type	Income Amount
Ameriprise ONE	Bank Deposit	\$1,001 - \$15,000	Interest	Less than \$201
John Hancock FDMNTL Large Cap Core CL C	Mutual Fund	\$1,001 - \$15,000	None	None
Frontier Communications Corp. Sr. Note	Corporate Bond	\$1,001-\$15,000	Interest	\$201-\$1000
Carey Watermark Investors II CL T	REIT	\$15,001-\$50,000	None	None
Oppenheimer Developing Markets CL A	Mutual Fund	\$1,001-\$15,000	None	None
Oppenheimer Intl Bond CL C	Mutual Fund	\$1,001-\$15,000	Dividend	Less than \$201
Oppenheimer Intl Diversified CL A	Mutual Fund	\$1,001-\$15,000	None	None
Oppenheimer Fundamental Alts CL C	Mutual Fund	\$1,001-\$15,000	None	None

Oppenheimer Fundamental Alts CI A	Mutual Fund	\$1,001-\$15,000	None	None
John Hancock Fundamental Large Cap Core CI A	Mutual Fund	\$15,001-\$50,000	None	None
Franklin Mutual Quest CI C	Mutual Fund	\$15,001-\$50,000	Dividend	\$201-\$1,000
Franklin Mutual European CI C	Mutual Fund	\$1,001-\$15,000	Dividend	Less than \$201
American Airlines Group Inc	Corporate Securities/Stock	\$1,001-\$15,000	Dividend	Less than \$201
JP Morgan Chase & Co. Alerian MLP Index TEN Cpn 0% Due 5/24/24 DTD 04/02/09	ETF/Notes	\$1,001-\$15,000	Dividend	\$201-\$1,000
Powershares Senior Loan Port ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Check Point Software Technologies Ltd	Corporate Securities/Stock	\$1,001-\$15,000	None	None
Deutsche X Trackers MSCI Emerging Markets Hedged Equity ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Deutsche X Trackers MSCI Europe Hedged Equity ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201-\$1,000
SPDR Gold Trust Gold Shares	ETF/Notes	\$1,001-\$15,000	None	None
iShares iBoxx \$ High Yield Corp Bd. ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201-\$1000
SPDR S&P Regional Banking ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
iShares Global Consumer Staples ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201-\$1000
iShares U.S. Preferred Stock ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201-\$1000
Powershares National AMT Free Municipal Bond ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Cohen & Steers REIT Preferred Income Fund Inc	Mutual Fund	\$15,001-\$50,000	Dividend	\$201-\$1000
iShares Silver Trust	ETF/Notes	Less than \$1,000	None	None
Unilever Plc Sponsored ADR New	Corporate Securities/Stock	\$1,001-\$15,000	Dividend	Less than \$201

Vanguard Small Cap ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Vanguard Information Tech ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Vanguard REIT Index ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Vanguard Mid Cap ETF	ETF/Notes	\$1,001-\$15,000	Dividend	Less than \$201
Vanguard FTSE Emerging Markets ETF	ETF/Notes	\$15,000-\$50,000	Dividend	Less than \$201
Wells Fargo & Co. New Dep Shs Repstg 1/40 8% non cuml perp A ser J CPN 8.00 DTD 12.21.07 FC 03.15.08 call 12/15/17 @25.000	Corporate Securities/Stock	\$1,001-\$15,000	Dividend	\$201-\$1,000

CHARLES SCHWAB RETIREMENT SAVINGS PLAN IRA

Asset Name	Type	Value	Income Type	Income Amount
Dodge & Cox Stock	Mutual Fund	\$1,001 - \$15,000	None	None
JPMorgan Large Cap Growth R6	Mutual Fund	\$1,001 - \$15,000	None	None
American Beacon Small Cap Value Inst	Mutual Fund	\$1,001 - \$15,000	None	None
Vanguard Extended Market Index Inst	Mutual Fund	\$1,001 - \$15,000	None	None
Janus Henderson Enterprise N	Mutual Fund	\$1,001 - \$15,000	None	None
Lazard Emerging Markets Equity Instl	Mutual Fund	\$1,001 - \$15,000	None	None
Oakmark International Investor	Mutual Fund	\$1,001 - \$15,000	None	None
Virtus Duff & Phelps Real Estate Secs R6	Mutual Fund	\$1,001 - \$15,000	None	None

2. Spouse-Owned Assets

REAL ESTATE – PRIMARY RESIDENCE

Asset Name	Type	Value	Income Type	Income Amount
1441 Rhode Island Ave. NW, Apt. 401	Real Estate/Residential	\$500,001- \$1,000,000	None	None

ROYAL BANK OF CANADA MANAGED ACCOUNT

Asset Name	Type	Value	Income Type	Income Amount
iShares Core Msci Emerging Markets ETF	ETF/Notes	\$1,001 - \$15,000	Dividend	Less than \$201
iShares Core S&P 500 ETF	ETF/Notes	\$100,001- \$250,000	Capital Gains / Dividends	\$1,001- \$15,000
iShares Core S&P Mid Cap ETF	ETF/Notes	\$15,001-\$50,000	Capital Gains / Dividends	\$201- \$1,000
iShares Core S&P Small Cap ETF	ETF/Notes	\$15,001-\$50,000	Dividend	Less than \$201
iShares Iboxx \$ Investment Grade Corp Bd ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201- \$1,000
iShares Trust – iShares Core MSCI EAFE ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201- \$1,000
iShares Trust – iShares J.P. Morgan USD Emerging Markets Bond ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201- \$1,000
iShares Trust – iShares U.S. Treasury Bond ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201- \$1,000
SPDR Series Trust – SPDR Bloomberg Barclays High Yield Bond ETF	ETF/Notes	\$15,001-\$50,000	Dividend	\$201- \$1,000
Vanguard FTSE Developed Markets ETF	ETF/Notes	\$50,001-\$100,000	Dividend	\$201- \$1,000
Vanguard FTSE Emerging Markets ETF	ETF/Notes	\$15,001-\$50,000	Dividend	Less than \$201
Vanguard Total International Bond ETF	ETF/Notes	\$15,001-\$50,000	Dividend	Less than \$201